

# *Ab initio* calculations of PbTiO<sub>3</sub>/SrTiO<sub>3</sub> (001) heterostructures

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We performed *ab initio* calculations for the PbTiO<sub>3</sub>/SrTiO<sub>3</sub> (001) heterostructures. For both PbO and TiO<sub>2</sub>-terminations of the PbTiO<sub>3</sub> (001) thin film, augmented on the SrTiO<sub>3</sub> (001) substrate, the magnitudes of atomic relaxations  $\Delta z$  increases as a function of the number of augmented monolayers. For both terminations of the augmented PbTiO<sub>3</sub> (001) nanofilm, all upper, third and fifth monolayers are displaced inwards ( $\Delta z$  is negative), whereas all second, fourth and sixth monolayers are displaced outwards ( $\Delta z$  is positive). The B3PW calculated PbTiO<sub>3</sub>/SrTiO<sub>3</sub> (001) heterostructure band gaps, independently from the number of augmented layers, are always smaller than the PbTiO<sub>3</sub> and SrTiO<sub>3</sub> bulk band

gaps. For both PbO and TiO<sub>2</sub>-terminated PbTiO<sub>3</sub>/SrTiO<sub>3</sub> (001) heterostructures, their band gaps are reduced due to the increased number of PbTiO<sub>3</sub> (001) monolayers. The band gaps of PbO-terminated augmented PbTiO<sub>3</sub> (001) films are always larger than those for TiO<sub>2</sub>-terminated PbTiO<sub>3</sub> (001) thin films. The only exception is the case of 7-layer PbO-terminated and 8-layer TiO<sub>2</sub>-terminated augmented PbTiO<sub>3</sub> (001) thin films, where their band gaps both are equal to 2.99 eV. For each monolayer of the SrTiO<sub>3</sub> (001) substrate, charge magnitudes always are more than several times larger, than for each monolayer in the augmented PbTiO<sub>3</sub> (001) thin film.

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**1 Introduction** During the last several years emerging new and forefront technologies make it possible to grow PbTiO<sub>3</sub>/SrTiO<sub>3</sub> (001) superlattices and ultrathin films with atomic control. Practically all nanoscale devices possess metal-dielectric, metal-semiconductor, semiconductor-semiconductor, or semiconductor-ferroelectric interfaces. Therefore, the investigation of complex oxide interfaces is a very important research area due to the current and forthcoming huge number of nanoscale device applications. Surface and interface phenomena and their nanostructures, the nature of surface and interface states, the mechanisms of surface electronic processes are all very important topics in modern solid state physics [1-9]. Despite the great industrial potential of SrTiO<sub>3</sub> and PbTiO<sub>3</sub> perovskites, as well as large number of first principles calculations dealing with their pristine (001) surfaces [10-30], it is rather difficult to understand the reason, why up to now only a small number of experimental and *ab initio* investigations are performed dealing with PbTiO<sub>3</sub>/SrTiO<sub>3</sub> (001) heterostructures [31-38].

SrTiO<sub>3</sub> probably is technologically most important ABO<sub>3</sub> perovskite. Pristine SrTiO<sub>3</sub> (001) thin films are used for a huge number of industrial applications, such as, for example, substrates for high- $T_C$  cuprate superconductor growth, catalysis, integrated optics, optical wave guides, as well as high capacity memory cells [39]. From the fundamental point of view SrTiO<sub>3</sub> is so called incipient ferroelectric. At room and higher temperatures SrTiO<sub>3</sub> is a centrosymmetric paraelectric ABO<sub>3</sub> perovskite material in a cubic high symmetry  $Pm3m$  phase. As temperature lowers, SrTiO<sub>3</sub> approaches a ferroelectric phase transition with a very large dielectric constant  $\sim 10^4$ , however, it remains paraelectric down to the lowest measured temperatures. PbTiO<sub>3</sub> (001) surfaces have significant advantages in reducing the weight and size of electronic devices, which have important applications in microelectronics, such as, for example, multilayer capacitors, infrared pyroelectric sensors, non-volatile memories, and ultrasonic transducers [40]. Regarding the atomic structure PbTiO<sub>3</sub> is paraelectric (nonpolar) at high temperatures and have the cubic

perovskite structure with space group *Pm3m*. In contrast to incipient ferroelectric SrTiO<sub>3</sub>, as temperature lowers, PbTiO<sub>3</sub> has one cubic to tetragonal phase transition at 766 K [41]. In order to keep our calculation time realistic, we performed all our calculations only for high symmetry cubic phases of SrTiO<sub>3</sub> and PbTiO<sub>3</sub> perovskites. These two materials in the cubic phase co-exist only at temperatures above 766 K.

In this paper, we present results of our *ab initio* calculations on atomic and electronic structure of technologically important PbTiO<sub>3</sub>/SrTiO<sub>3</sub> (001) heterostructures using SrTiO<sub>3</sub> (001) surface as a substrate for PbTiO<sub>3</sub> growth. The paper is organized as follows. The computational details of our numerical calculations are described in Section 2. Section 3 presents results of our *ab initio* calculations on PbTiO<sub>3</sub>/SrTiO<sub>3</sub> (001) heterostructures, including the electronic charge redistribution and band structure peculiarities as a function from the number of interface layers and termination type. Finally, our conclusions are systematized in Section 4.

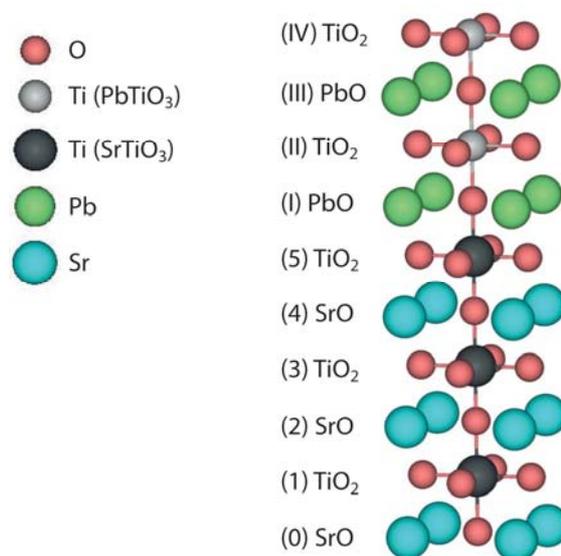
It is worth to notice, that according to our predictions, PbTiO<sub>3</sub>/SrTiO<sub>3</sub> (001) heterostructures with even number of layers inside PbTiO<sub>3</sub> nanothin films (stoichiometric configurations) can be directly suitable for photocatalytic applications after their doping by non-metal atoms substituted regular oxygens (e.g., N<sub>O</sub> and S<sub>O</sub> mono-dopants as well as N<sub>O</sub>+S<sub>O</sub> co-dopant).

## 2 Computational details of the PbTiO<sub>3</sub>/SrTiO<sub>3</sub> (001) heterostructure calculations

We have performed *ab initio* DFT-B3PW numerical calculations for the PbTiO<sub>3</sub>/SrTiO<sub>3</sub> (001) heterostructures using the periodic *CRYSTAL09* computer code [42]. In contrast to the plane wave codes, the *CRYSTAL* computer code utilizes the Gaussian-type functions centered on atomic nuclei as the basis sets (BSs). The BSs are believed to be completely transferable, so that, once determined for some chemical constituent, they are always applied in the calculations for a variety of materials where the former is included. Such BSs for all chemical elements Pb, Sr, Ti and O of PbTiO<sub>3</sub> and SrTiO<sub>3</sub> ternary oxides were developed more than a decade ago by Piskunov et al. [43]. Of course, we used exactly the same basis set [43] in our current PbTiO<sub>3</sub>/SrTiO<sub>3</sub> (001) heterostructure calculations.

In our numerical calculations the hybrid B3PW exchange-correlation functional [44] is employed, increasingly popular in solid state physics during the last decade. The main advantage of this functional is improved description of the experimental band gap in comparison with pure DFT based methods [29, 43]. For description of effective atomic charges on atoms, as well as bond populations between atoms, we have used the classical Mulliken bond population analysis as described in Refs. [45-48]. We performed the reciprocal space integration in our calculations by sampling PbTiO<sub>3</sub>/SrTiO<sub>3</sub> (001) heterostructure Brillouin zone with the 8 × 8 × 1 Monkhorst-Pack mesh [49].

Since SrTiO<sub>3</sub> is an incipient ferroelectric, the SrTiO<sub>3</sub> substrate always has high symmetry cubic *Pm3m* phase. In order to make our calculations feasible, also PbTiO<sub>3</sub> has been calculated as perfect cubic structure. All calculations on PbTiO<sub>3</sub>/SrTiO<sub>3</sub> (001) heterostructures were performed for their single slab model. All slabs employed in our calculations have been symmetrically terminated in order to maximally use the 2D symmetry. For a substrate we have used symmetrical (with respect to the mirror plane) SrTiO<sub>3</sub> (001) slab consisting of eleven alternating TiO<sub>2</sub> and SrO layers (TiO<sub>2</sub>-SrO-TiO<sub>2</sub>-SrO-TiO<sub>2</sub>-SrO-TiO<sub>2</sub>-SrO-TiO<sub>2</sub>-SrO-TiO<sub>2</sub>). Thereby, in our B3PW calculations, the SrTiO<sub>3</sub> (001) substrate consisted of a supercell containing 28 atoms. The SrTiO<sub>3</sub> (001) substrate is non-stoichiometric, consisting of Sr<sub>5</sub>Ti<sub>6</sub>O<sub>17</sub> formula units *per cell*. As a next step in our calculations, we symmetrically and gradually augmented from one to ten alternating PbO and TiO<sub>2</sub> layers (PbO-TiO<sub>2</sub>-PbO-TiO<sub>2</sub>-PbO-TiO<sub>2</sub>-PbO-TiO<sub>2</sub>-PbO-TiO<sub>2</sub>) on both sides of the SrTiO<sub>3</sub> (001) substrate. Thereby, the complete PbTiO<sub>3</sub>/SrTiO<sub>3</sub> (001) heterostructure in our calculations contains SrTiO<sub>3</sub> (001) substrate, consisting of eleven layers, or 28 atoms, and ten PbTiO<sub>3</sub> (001) layers containing 25 atoms, augmented symmetrically from both sides of the substrate. The largest number of atoms, in our PbTiO<sub>3</sub>/SrTiO<sub>3</sub> (001) heterostructure calculations achieves 78 atoms, with unit cell formula Sr<sub>5</sub>Pb<sub>10</sub>Ti<sub>16</sub>O<sub>47</sub> (Fig. 1). In B3PW PbTiO<sub>3</sub>/SrTiO<sub>3</sub> (001) heterostructure calculations coordinates of all system atoms were allowed to relax, but only along the *z*-axis, due to symmetry constraints.



**Figure 1** Sketch of the (001) interface between two perovskites PbTiO<sub>3</sub> and SrTiO<sub>3</sub>. Substrate SrTiO<sub>3</sub> (001) planes are numbered with Arabic numbers. We used Roman numbers in order to number planes of deposited PbTiO<sub>3</sub> (001) film. Arabic number zero is used for the central plane of the symmetrically terminated eleven-layer SrTiO<sub>3</sub> (001) substrate.

During epitaxial PbTiO<sub>3</sub> film growth, the lattice mismatch between PbTiO<sub>3</sub> and SrTiO<sub>3</sub> lattice constants arises. Therefore, in B3PW calculations, we performed the relaxation of joint PbTiO<sub>3</sub>/SrTiO<sub>3</sub> (001) heterostructure lattice constant for the case of thickest PbTiO<sub>3</sub>/SrTiO<sub>3</sub> (001) heterostructure, consisting of 31 layers and containing 78 atoms, in order to minimize the strain effect. Then, this joint lattice constant 3.91 Å is used in all further numerical PbTiO<sub>3</sub>/SrTiO<sub>3</sub> (001) heterostructure calculations. To calculate the displacement  $\Delta z$  for each monolayer inside PbTiO<sub>3</sub>/SrTiO<sub>3</sub> (001) heterostructure, we used the displacement of the previous atomic monolayer. Thereby, the reference  $z$ -coordinate for each monolayer  $N$  is described using the following equation:

$$z_N^{\text{ref}} = \frac{1}{2}(z_{N-1}^{\text{Me}} + z_{N-1}^{\text{O}}), \quad (1)$$

where  $z_{N-1}^{\text{Me}}$  and  $z_{N-1}^{\text{O}}$  are the  $z$ -coordinates of the metal atom and oxygen atom of the previous atomic monolayer, respectively.

### 3 Main calculation results

#### 3.1 PbTiO<sub>3</sub> and SrTiO<sub>3</sub> main bulk properties

As a starting point of our B3PW calculations, we calculated main characteristics of the perfect PbTiO<sub>3</sub> and SrTiO<sub>3</sub> bulk, in order to compare later obtained results with those of PbTiO<sub>3</sub>/SrTiO<sub>3</sub> (001) heterostructures. Our calculated, by means of hybrid B3PW exchange-correlation functional, bulk lattice constant for PbTiO<sub>3</sub> (3.93 Å) is only by 0.76% smaller than the experimental PbTiO<sub>3</sub> lattice constant of 3.96 Å [50]. In contrast, our calculated SrTiO<sub>3</sub> bulk lattice constant (3.90 Å) is almost in a perfect agreement with the experimental value (3.89 Å) [51]. Our B3PW calculated band gap for the PbTiO<sub>3</sub> perovskite at the  $\Gamma$ -point (4.32 eV) is by 17.71% overestimated with respect to the experimental value of (3.67 eV) [50]. Again, for SrTiO<sub>3</sub> perovskite, almost perfect agreement between both the calculated (3.63 eV) and experimentally measured (3.75 eV) [52] band gaps are observed.

Our B3PW calculated effective atomic charges for PbTiO<sub>3</sub> and SrTiO<sub>3</sub> perovskites, using Mulliken bond population analysis, are considerably smaller than their perfect ionic values of  $+2e$ ,  $+4e$  and  $-2e$ , respectively. Calculated numerical values for PbTiO<sub>3</sub> effective charges are equal to  $(+1.35e, +2.34e$  and  $-1.23e$ , respectively). Also for SrTiO<sub>3</sub> perovskite, our calculated effective atomic charges  $(+1.87e, +2.35e$  and  $-1.41e$ , respectively), are considerably smaller than the classical ionic charges. The population of the chemical bond between Ti and O atoms is  $+0.098e$  in PbTiO<sub>3</sub>, and slightly smaller  $(+0.088e)$  in SrTiO<sub>3</sub>. The chemical bond populations calculated between Pb and O atoms in PbTiO<sub>3</sub>  $(+0.016e)$  and between Sr and O atoms in SrTiO<sub>3</sub>  $(-0.010e)$  are much smaller.

#### 3.2 SrTiO<sub>3</sub>/PbTiO<sub>3</sub> (001) heterostructures

Our *ab initio* B3PW calculations dealing with PbTiO<sub>3</sub>/SrTiO<sub>3</sub> (001) heterostructures have been performed using the

SrTiO<sub>3</sub> (001) substrate consisting of eleven alternating TiO<sub>2</sub> or SrO atomic layers in the (001) direction symmetrically terminated from both sides by TiO<sub>2</sub> layers. Afterwards, we modelled PbTiO<sub>3</sub> epitaxial growth by adding a pair of PbTiO<sub>3</sub> (001) monolayers symmetrically to both sides of TiO<sub>2</sub>-terminated SrTiO<sub>3</sub> (001) substrate. Thereby, we performed our B3PW calculations for ten different PbTiO<sub>3</sub> (001) film concentrations, ranging from one monolayer, symmetrically augmented to SrTiO<sub>3</sub> (001) substrate from both sides, up to 10 PbTiO<sub>3</sub> (001) film monolayers added symmetrically to both sides of SrTiO<sub>3</sub> (001) substrate. Owing to the constraints forced by the system symmetry, in our B3PW calculations positions of all atoms were relaxed only along the  $z$ -axis, the same as in our recent pilot studies dealing with BaTiO<sub>3</sub>/SrTiO<sub>3</sub> [53] and PbZrO<sub>3</sub>/SrZrO<sub>3</sub> [54] (001) interfaces. Thereby, due to the symmetry restrictions, we do not include in our B3PW calculations the possible oxygen rotations in the  $x, y$  plane, as often discussed in the literature [37, 55, 56].

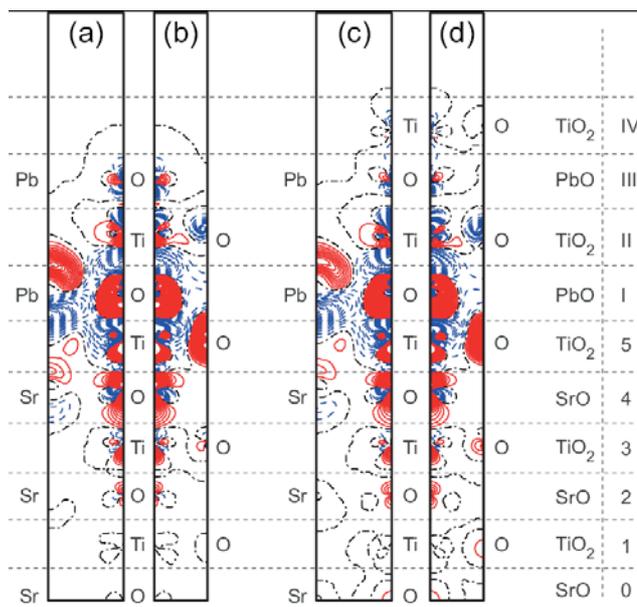
In our B3PW calculations atomic shifts  $\Delta z$  along the  $z$  axis were simulated in comparison to the averaged  $z$  position of the former layer, as described in Eq. (1), in order to avoid a permanent increase of their value. All our B3PW calculated atomic displacements are inside 8.54% of the lattice constant. All upper layer atoms ( $\Delta z$ ) of the SrTiO<sub>3</sub> (001) substrate augmented PbTiO<sub>3</sub> (001) thin film strongly relax inwards. Magnitude of inward relaxation  $\Delta z$  strongly depends on the number of augmented layers and always exceeds 6% from the lattice constant: -6.01 (1 layer), -7.76 (2 layers), -6.97 (3 layers), -8.25 (4 layers), -7.26 (5 layers), -8.38 (6 layers), -7.34 (7 layers), -8.54 (8 layers), -7.42 (9 layers), -8.54 (10 layers). It is worth to notice, that surface upper layer atom relaxations  $\Delta z$  of the PbO-terminated augmented PbTiO<sub>3</sub> (001) thin film, *i.e.*, -6.01 (1); -6.97 (3); -7.26 (5); -7.34 (7) and -7.42 (9) are always smaller than those of the TiO<sub>2</sub>-terminated augmented PbTiO<sub>3</sub> (001) thin film: -7.76 (2); -8.25 (4); -8.38 (6); -8.54 (8) and -8.54 (10). For both PbO and TiO<sub>2</sub>-terminations of PbTiO<sub>3</sub> (001) thin film, the magnitude of atomic relaxation  $\Delta z$  increases as a function of the number of augmented layers. For both PbO and TiO<sub>2</sub>-terminations of augmented PbTiO<sub>3</sub> (001) thin film, all upper layer atoms are displaced inwards ( $\Delta z$ ), towards the bulk, all second layer atoms ( $\Delta z$ ) relax outwards, all third layer atoms again relax inwards, while all fourth layer atoms are shifted outwards. This tendency is true even for fifth and sixth layer atoms of both PbO and TiO<sub>2</sub>-terminated augmented PbTiO<sub>3</sub> (001) thin films, the former relax inwards, while the latter outwards.

As a consequences of the large contribution of the covalency in Ti-O bonds, our B3PW calculated Mulliken charges of Ti and O are quite different from Ti and O formal ionic charges of  $+4e$  and  $-2e$ , respectively. As follows from our previous studies, the Ti-O chemical bonds near TiO<sub>2</sub>-terminated (001) surfaces of both PbTiO<sub>3</sub> and SrTiO<sub>3</sub> slabs increase their covalency as compared to that of Ti-O chemical bond in bulk PbTiO<sub>3</sub> and SrTiO<sub>3</sub> perovskites

[29]. According to performed B3PW calculations, the increase of the Ti-O chemical bond covalency ( $0.158e$ ) in comparison with the  $\text{PbTiO}_3$  bulk ( $0.098e$ ), is observed also near the  $\text{PbTiO}_3/\text{SrTiO}_3$  (001) interface. The augmented  $\text{PbTiO}_3$  (001) thin film upper layer  $\text{TiO}_2$ -terminated planes, independently from the number of augmented layers, 2, 4, 6, 8 or 10 layers, always attracts exactly  $0.08e$ . At the same time, the PbO-terminated  $\text{PbTiO}_3/\text{SrTiO}_3$  (001) heterostructures becomes more positive:  $+0.13e$  for 1 augmented  $\text{PbTiO}_3$  layer,  $+0.14e$  (3 layers),  $+0.14e$  (5 layers),  $+0.15e$  (7 layers) and  $+0.15e$  (9 layers). The largest positive monolayer charge ( $+0.15e$ ) is observed for PbO-terminated 7 and 9 layer thick augmented  $\text{PbTiO}_3$  (001) thin film upper layers. In contrast, the largest negative monolayer charge ( $-0.17e$ ) is observed for 7 and 9 layer thick augmented  $\text{PbTiO}_3$  (001) thin film Ti and two O atoms containing upper sublayer. It is interesting to notice, that for each monolayer of the  $\text{SrTiO}_3$  (001) substrate, charge magnitudes always are more than several times larger, than the augmented  $\text{PbTiO}_3$  (001) thin film each monolayer charges. For example, the central  $\text{SrTiO}_3$  (001) substrate layer Sr and O summary charges varies from  $+0.45e$  till  $+0.47e$ , but the first substrate layer charges containing Ti and two O atoms varies from  $-0.44e$  till  $-0.47e$ . Also the second, third and fourth  $\text{SrTiO}_3$  (001) substrate monolayer charges are almost the same as for the zero and first monolayers. Nevertheless, it is interesting to notice, that for the fifth, and simultaneously the upper  $\text{SrTiO}_3$  (001) monolayer, the Mulliken charges are quite different, than that for the other substrate monolayers. They are in the range from  $-0.28e$  till  $-0.31e$ , and thereby they are approximately in the middle between the other  $\text{SrTiO}_3$  (001) substrate monolayer charges, as well as the augmented  $\text{PbTiO}_3$  (001) thin film all monolayer charges.

Figure 2 analyzes the electronic charge density redistribution, which happens at the  $\text{PbTiO}_3/\text{SrTiO}_3$  (001) heterostructure, in comparison to the pure  $\text{SrTiO}_3$  and  $\text{PbTiO}_3$  (001) slabs. By definition, the charge density redistribution is the electron density at the (001) interface minus the sum of electron densities in separately isolated  $\text{SrTiO}_3$  (001) substrate and  $\text{PbTiO}_3$  (001) thin film slabs and is plotted in Fig. 2 for both 3- and 4-UC thick  $\text{PbTiO}_3/\text{SrTiO}_3$  (001) heterostructures. Figure 2 demonstrate, that the most important distortions happens at the (001) interface due to the compensation of the surface effects of the slabs. Plots also demonstrate that the electronic structure of the (001) substrate of non-stoichiometric heterostructures is distorted similarly to that of stoichiometric ones. The situation in the (001) thin films is just opposite.

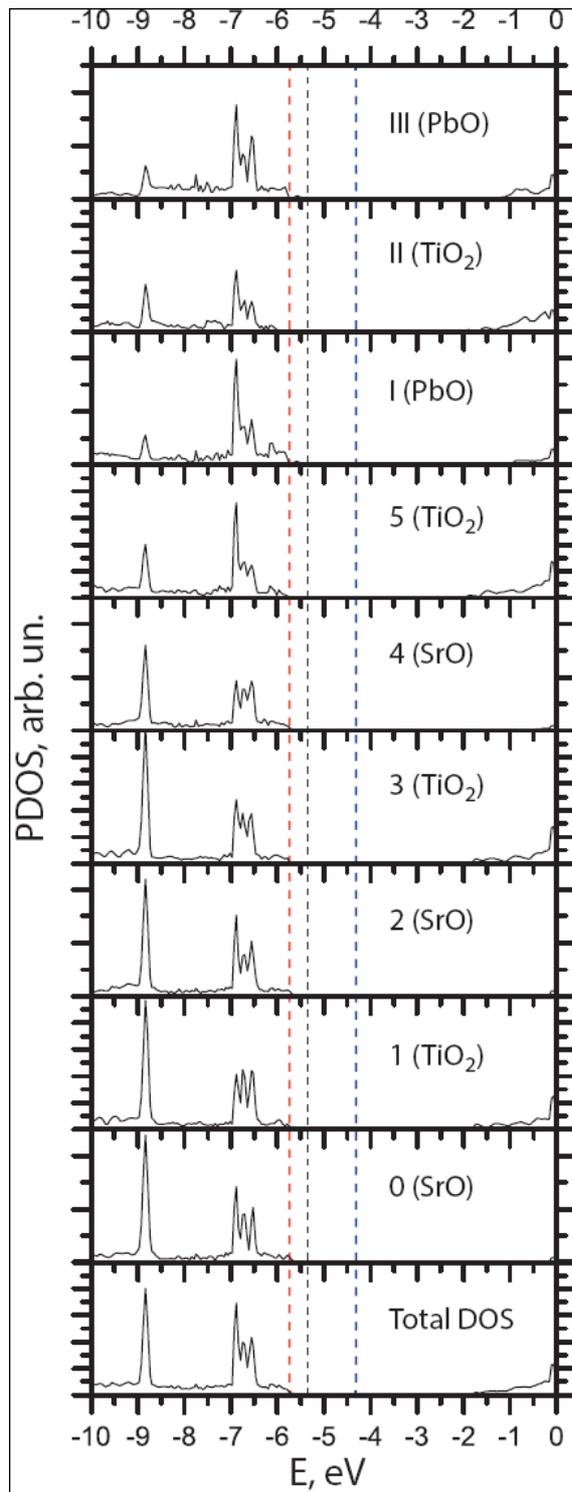
Our B3PW calculated density of states (DOS) projected layer by layer onto all orbitals of Pb, Sr, Ti and O atoms of three and four unit cell (UC) thick  $\text{PbTiO}_3/\text{SrTiO}_3$  (001) heterostructures are plotted in Figs. 3 and 4. The same as for all bulk  $\text{ABO}_3$  perovskites [21, 29, 57-60], the top of valence band for  $\text{PbTiO}_3/\text{SrTiO}_3$  (001) heterostructure consists mainly of  $\text{O}(2p)$  orbitals. At the same time, the bottom of the conduction band for  $\text{PbTiO}_3/\text{SrTiO}_3$



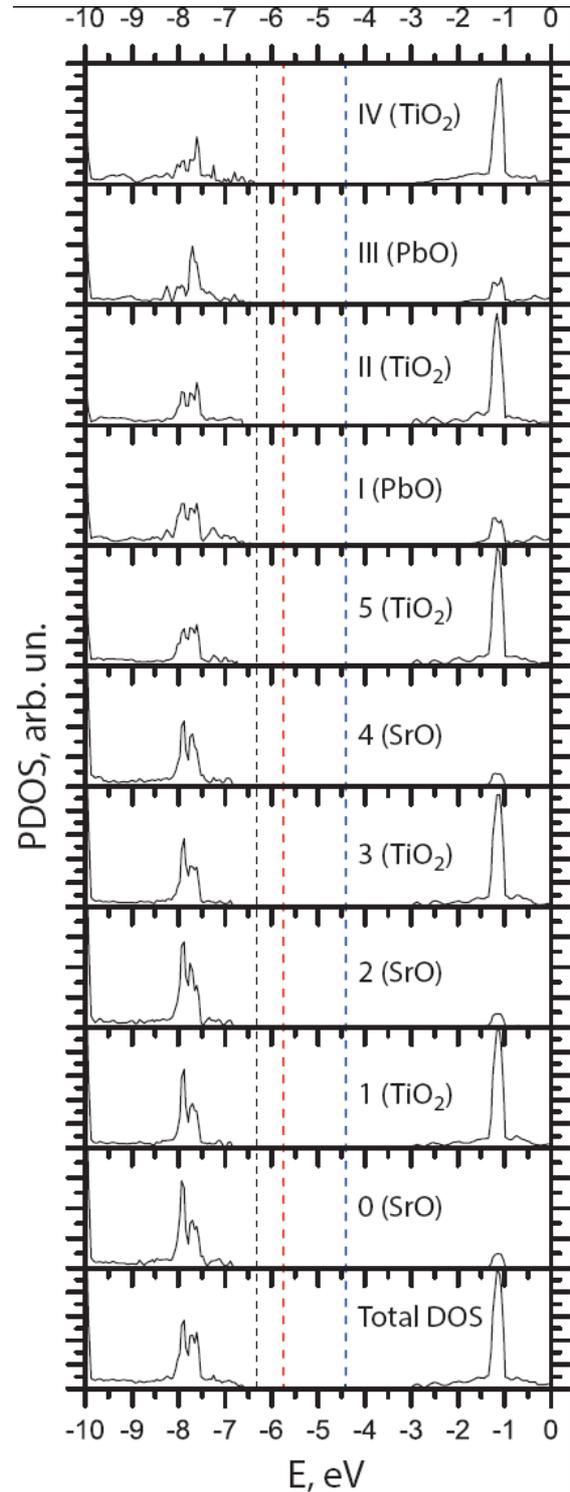
**Figure 2** Our B3PW calculated difference electron charge density maps for  $\text{PbTiO}_3/\text{SrTiO}_3$  (001) interfaces: (a) (110) cross-section for  $N_{\text{PbTiO}_3} = 3$ , (b) (100) cross-section for  $N_{\text{PbTiO}_3} = 3$ , (c) (110) cross-section for  $N_{\text{PbTiO}_3} = 4$ , (d) (100) cross-section for  $N_{\text{PbTiO}_3} = 4$ . Red solid, blue dashed and black dash-dotted isolines represent positive, negative and zero values of the difference charge density, respectively. Isodensity curves are drawn from  $-0.025$  to  $+0.025 e \text{ \AA}^{-3}$  with an increment of  $0.0005 e \text{ \AA}^{-3}$ . Right-side bar shows the atomic monolayers from which atoms are originated.  $\text{SrTiO}_3$  and  $\text{PbTiO}_3$  monolayers are numbered beginning from the center of the slab. Planes (monolayers) are numbered separately for  $\text{SrTiO}_3$  (001) substrate as well as for  $\text{PbTiO}_3$  (001) nanofilm with Arabic and Roman numbers.

(001) heterostructures is formed mostly from  $\text{Ti}(3d)$  atomic orbitals.

Our B3PW calculated band gaps of 4.32 eV for the  $\text{PbTiO}_3$  perovskite bulk and 3.63 eV for the  $\text{SrTiO}_3$  perovskite bulk are in a fair agreement with experimental results of 3.67 and 3.75 eV, respectively. The B3PW calculated band gap for  $\text{TiO}_2$ -terminated eleven layer containing  $\text{SrTiO}_3$  (001) substrate is considerably reduced with respect to the  $\text{SrTiO}_3$  bulk band gap and is equal to 2.58 eV. The B3PW calculated band gap for the PbO-terminated  $\text{PbTiO}_3$  (001) thin augmented on the  $\text{SrTiO}_3$  (001) substrate containing one layer is equal to 3.45 eV (Fig. 5). The band gaps for PbO-terminated augmented (001) thin films containing 3, 5, 7 and 9 layers are equal to 3.25, 3.08, 2.99 and 2.94 eV, respectively. The B3PW calculated band gaps for the  $\text{TiO}_2$ -terminated augmented  $\text{PbTiO}_3$  (001) thin film on the  $\text{SrTiO}_3$  (001) substrate containing 2, 4, 6, 8 and 10 layers are equal to 3.18, 3.17, 3.05, 2.99 and 2.93 eV, respectively.



**Figure 3** By means of the B3PW hybrid exchange-correlation functional calculated layer by layer projected density of states of three UC thick  $\text{PbTiO}_3/\text{SrTiO}_3$  (001) heterostructure. Energy scale is plotted regarding the vacuum level.



**Figure 4** By means of the B3PW hybrid exchange-correlation functional calculated layer by layer projected density of states of four UC thick  $\text{PbTiO}_3/\text{SrTiO}_3$  (001) heterostructure. Energy scale is plotted regarding the vacuum level.

### 3.3 Suitability of PbTiO<sub>3</sub>/SrTiO<sub>3</sub> (001) heterostructures for photocatalysis

Dissociation of H<sub>2</sub>O molecules under the influence of solar light on semiconductor electrode is very promising process for production of hydrogen fuel, which is environmentally friendly energy source [61]. 2D interfaces inside perovskite PbTiO<sub>3</sub>/SrTiO<sub>3</sub> (001) heterostructures have been checked as materials potentially suitable for photocatalytic applications. Their efficiency depends on relative position of the band gap edges (the visible light interval between infrared and ultraviolet ranges of electromagnetic spectrum corresponds to gap widths 1.5–2.8 eV) accompanied by a proper alignment of valence and conduction bands ( $\varepsilon_{VB}$  and  $\varepsilon_{CB}$ ) as well as occupied and unoccupied induced levels ( $\varepsilon_{HOIL}$  and  $\varepsilon_{LUIL}$ ) relative to both reduction (H<sup>+</sup>/H<sub>2</sub>) and oxidation (O<sub>2</sub>/H<sub>2</sub>O) potentials (-4.44 eV and -5.67 eV, respectively). They must be positioned inside the band gap as shown in our recent papers describing suitability for photocatalysis of SrTiO<sub>3</sub> nanotubes [62] and nanowires [63], respectively:

$$\varepsilon_{VB} < \varepsilon_{HOIL} < \varepsilon_{O_2/H_2O} < \varepsilon_{LUIL} < \varepsilon_{CB}. \quad (2)$$

As follows from Figs. 3 and 4 containing redox levels  $\varepsilon_{O_2/H_2O}$  and  $\varepsilon_{H^+/H_2}$  inserted in DOSs plots, PbTiO<sub>3</sub>/SrTiO<sub>3</sub> (001) heterostructures containing 4 UC-thick PbTiO<sub>3</sub> (001) nanofilm can be considered as potential candidates for photocatalytic applications, while 3 UC-thick film not since conditions of Eq. (2) are not fulfilled in the latter case (because the top of its valence band  $\varepsilon_{VB}$  overlaps with  $\varepsilon_{O_2/H_2O}$  level which leads to recombination between electron excited by photon absorption and remaining hole). However, the band gap of heterostructure containing 4 UC-thick nanofilm (~3.4 eV) corresponds to ultraviolet light range, being too wide for sunlight energy conversion (15–17%). The next step of possible PbTiO<sub>3</sub>/SrTiO<sub>3</sub> (001) heterostructure adaptation as photoelectrode would be its doping, presumably by non-metal atoms substituted regular oxygens [62].

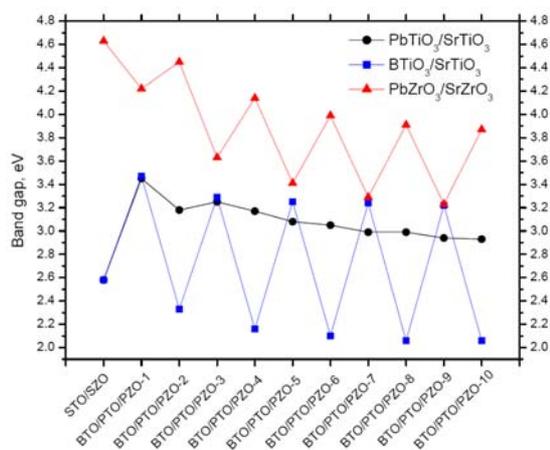
### 4 Conclusions

We have performed *ab initio* B3PW calculations on a number of PbTiO<sub>3</sub>/SrTiO<sub>3</sub> (001) heterostructures. For the TiO<sub>2</sub>-terminated PbTiO<sub>3</sub>/SrTiO<sub>3</sub> (001) heterostructure upper layer, the Ti-O chemical bond population is 0.158*e*, which is approximately 1.6 times larger than the respective Ti-O chemical bond population 0.098*e* in the PbTiO<sub>3</sub> perovskite bulk.

For both PbO and TiO<sub>2</sub>-terminations of the PbTiO<sub>3</sub> (001) thin film, augmented on the SrTiO<sub>3</sub> (001) substrate, the magnitudes of atomic  $\Delta z$  relaxations increase as functions of the number of augmented monolayers. For both PbO and TiO<sub>2</sub>-terminations all upper, third and fifth monolayers are displaced inwards ( $\Delta z$  is negative), whereas all second, fourth and sixth monolayers are displaced outwards ( $\Delta z$  is positive).

The B3PW calculated PbTiO<sub>3</sub>/SrTiO<sub>3</sub> (001) heterostructure band gaps, independently from the number of augmented layers, are always smaller than the PbTiO<sub>3</sub> and

SrTiO<sub>3</sub> bulk band gaps. For both PbO and TiO<sub>2</sub>-terminated PbTiO<sub>3</sub>/SrTiO<sub>3</sub> (001) heterostructures, their band gaps are reduced due to the increased number of PbTiO<sub>3</sub> (001) monolayers. It is worth to notice, that according to previous B3PW calculations [53, 54] dealing with BaTiO<sub>3</sub>/SrTiO<sub>3</sub> and PbZrO<sub>3</sub>/SrZrO<sub>3</sub> (001) interfaces (Fig. 5), the calculated band gaps for AO-terminated augmented (001) thin films always were considerably larger than the band gaps for augmented BO<sub>2</sub>-terminated (001) films independently from the number of layers. In contrast, for the case of PbTiO<sub>3</sub>/SrTiO<sub>3</sub> (001) interfaces, situation is slightly different, since for both, 7-layer PbO-terminated and 8-layer TiO<sub>2</sub>-terminated augmented PbTiO<sub>3</sub> (001) thin films, their band gaps are equal to 2.99 eV.



**Figure 5** Our B3PW calculated optical band gaps for PbTiO<sub>3</sub>/SrTiO<sub>3</sub> (001) heterostructures. The number of deposited PbTiO<sub>3</sub> monolayers are changed from 0 (corresponds to TiO<sub>2</sub>-terminated SrTiO<sub>3</sub> (001) substrate) till 10. Dashed lines are used for better perception. B3PW calculated band gaps for BaTiO<sub>3</sub>/SrTiO<sub>3</sub> and PbZrO<sub>3</sub>/SrZrO<sub>3</sub> (001) interfaces [58, 59] are listed for comparison purposes.

It is worth to notice, that for each monolayer of the SrTiO<sub>3</sub> (001) substrate, charge magnitudes always are more than several times larger, than for each monolayer of the augmented PbTiO<sub>3</sub> (001) thin film. For example, the central SrTiO<sub>3</sub> (001) substrate layer Sr and O summary charges varies from +0.45*e* till +0.47*e*. It is interesting to notice, that for the fifth, and simultaneously the upper SrTiO<sub>3</sub> (001) monolayer, the Mulliken charges are quite different, as compared to other substrate monolayers. They are in the range from -0.28*e* till -0.31*e*, and thereby they approximately correspond to the averaged value between another SrTiO<sub>3</sub> (001) substrate monolayer charges, as well as all monolayer charges of the augmented PbTiO<sub>3</sub> (001) thin film. Along with the performed Mulliken charge analysis, also Fig. 2 confirms, that the most important distortions happens at the (001) interface, due to the compensation of the surface effects of the slabs.

PbTiO<sub>3</sub>/SrTiO<sub>3</sub> (001) heterostructures with even number of layers inside PbTiO<sub>3</sub> nanorod films, according to our predictions, can be directly suitable for photocatalytic applications after their doping by non-metal atoms substituted regular oxygens (e.g., N<sub>O</sub> and S<sub>O</sub> mono-dopants as well as N<sub>O</sub>+S<sub>O</sub> co-dopant).

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